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# Results On Gas Detection and Concentration Estimation via Mid-IR-based Gas Detection System Analysis Model

Yi Xin and Joel M. Morris

Communications and Signal Processing Laboratory  
CSEE Dept/UMBC  
xin1@umbc.edu, morris@umbc.edu



# Motivation/Goals

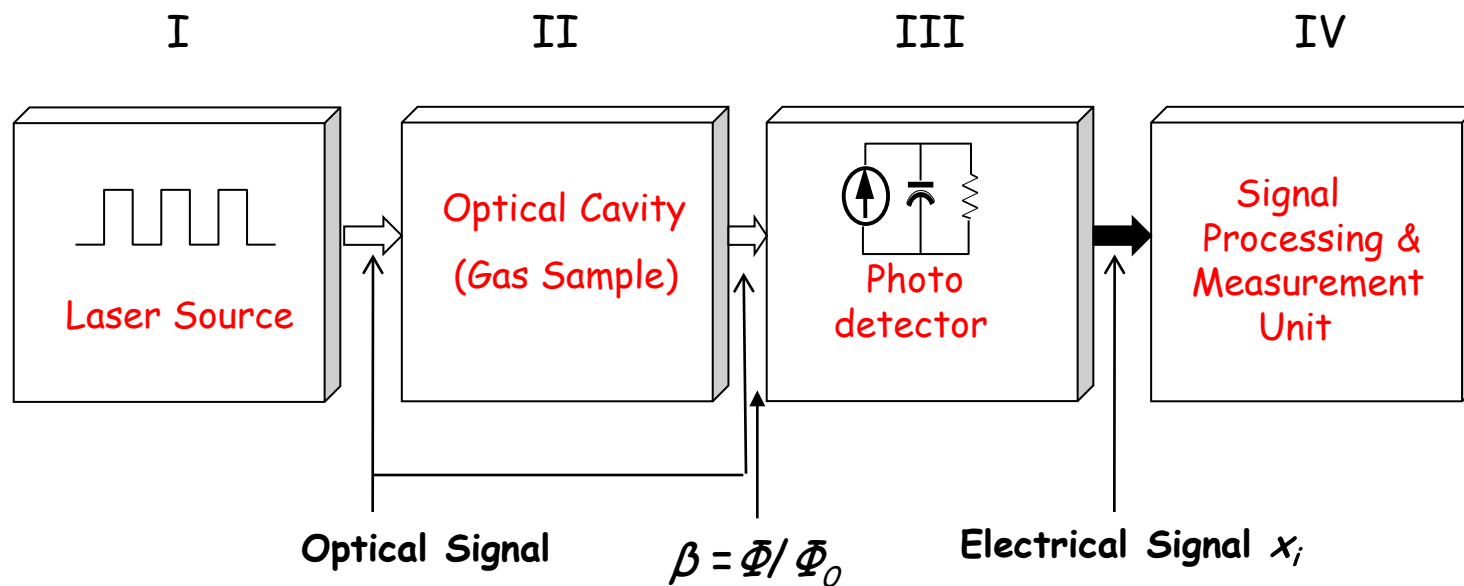
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## For Mid-IR Gas Detection Systems

- Improve developed statistical analysis model
- Develop detection rule  $P_D, P_{FA}$
- Detect target gas
- Estimate concentration of target gas
- Apply to 17 important trace gases
- Adapt to different photo-detectors



# MID-IR Gas Detection System



$\Phi$  = Optical intensity w/ gas

$\Phi_0$  = Optical intensity w/o gas



# System Parameters

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$P$  ~ laser power

$\beta$  ~ gas transmittance

$\Delta f$  ~ 3dB passband ( $0.35/t_r$ )

$T_w$  ~ laser pulse width ( $10 t_r$ )

$I_p$  ~ photocurrent

$I_d$  ~ dark current

$I_{sn}$  ~ shot noise current

$I_{jn}$  ~ Johnson noise current

$\alpha_\beta$  ~  $I_p(T_w/q)$

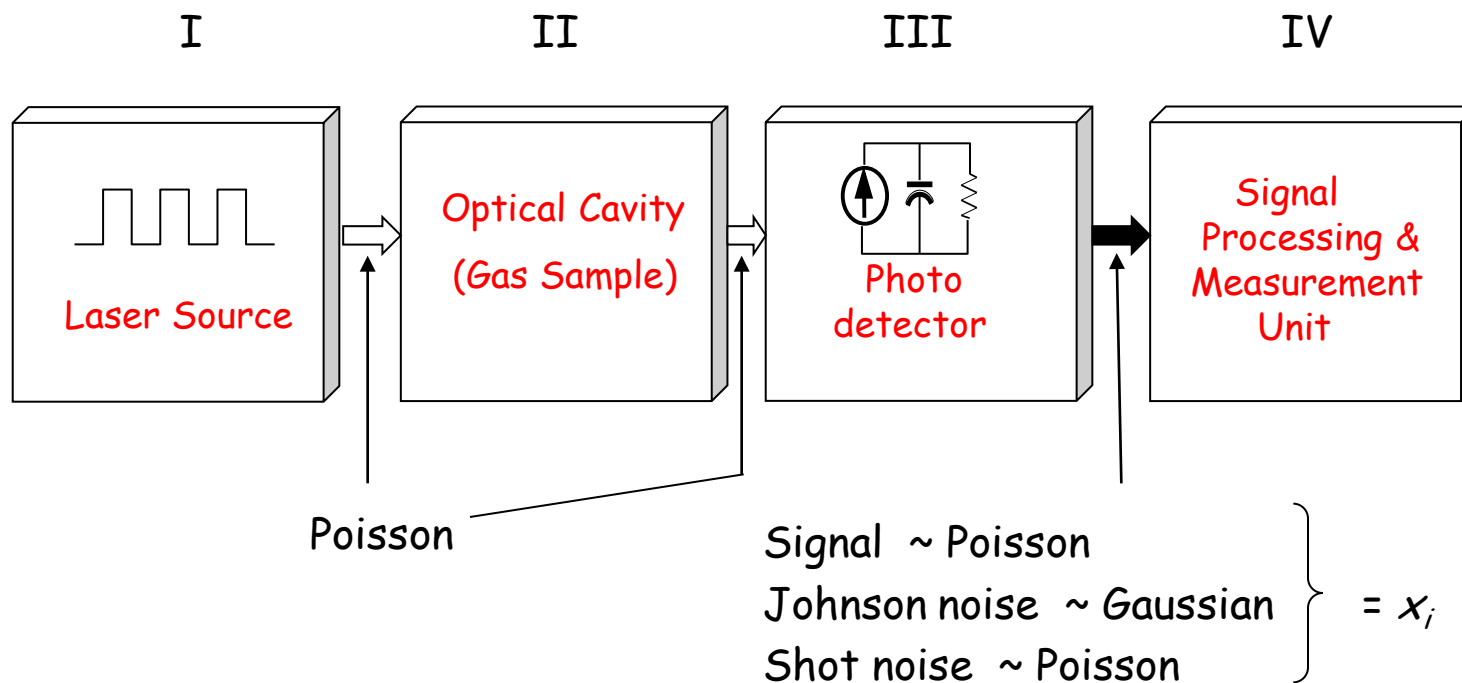
$\mu$  ~  $I_d(T_w/q)$

$\lambda_\beta$  ~  $I_p(T_w/q) + I_{sn}^2(T_w/q)$

$\sigma^2$  ~  $I_{jn}^2(T_w/q)^2$



# Statistical Model





# Statistical Model

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Output measurement sample-mean pdf:

$$f(x_N | \beta) = \sum_{k=0}^{\infty} \exp \left\{ \begin{array}{l} -N\lambda_{\beta} + k \ln N\lambda_{\beta} - \ln k! - \ln(\sqrt{2\pi\sigma^2 / N}) \\ -N(x - \mu - \alpha_{\beta} + \lambda_{\beta} - k/N)^2 / 2\sigma^2 \end{array} \right\}$$

where  $\alpha_{\beta}$  &  $\lambda_{\beta}$  are functions of  $\beta$  and photon-detector parameters

We use central limit theorem (CLT) to approximate  $f(x_N | \beta)$  as a Gaussian pdf for  $N \geq 100$ :

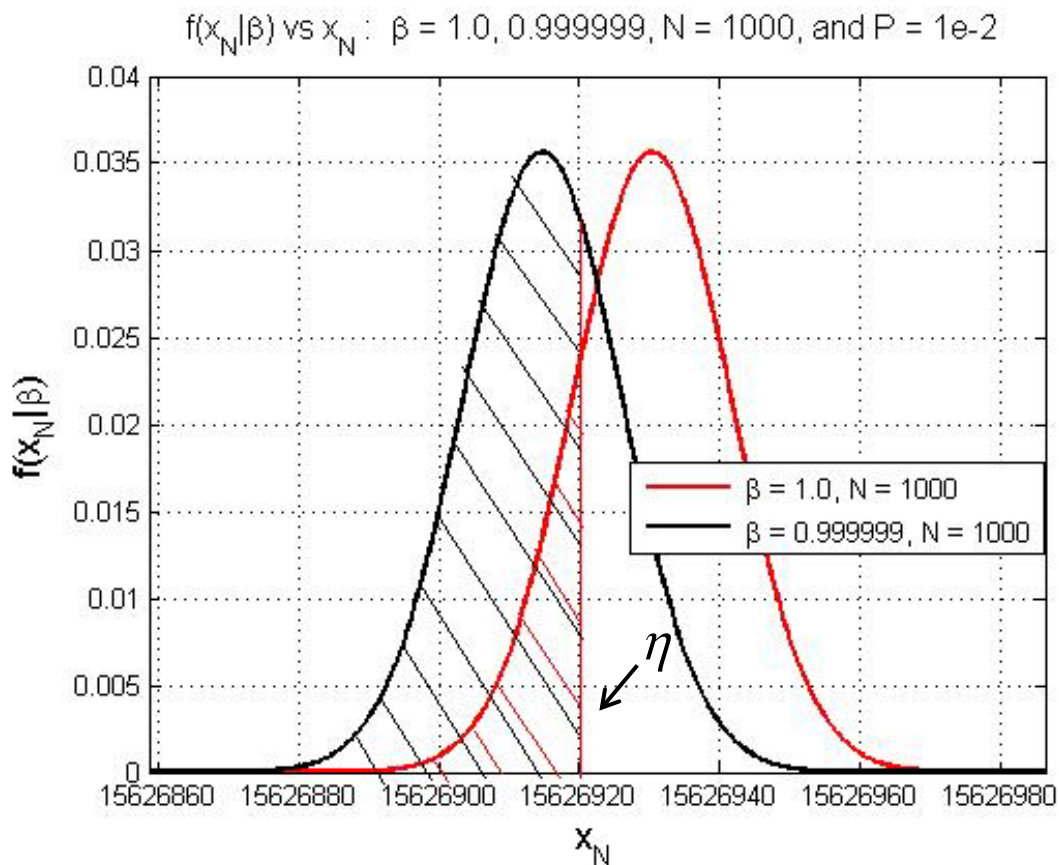
$$f(x_N | \beta) = \frac{1}{\sqrt{2\pi(\lambda_{\beta} + \sigma^2) / N}} \exp \left\{ \frac{-(x_N - (\alpha_{\beta} + \mu))^2 N}{2(\lambda_{\beta} + \sigma^2)} \right\}$$

$$E\{x_N\} = \alpha_{\beta} + \mu$$

$$\text{var}\{x_N\} = (\lambda_{\beta} + \sigma^2) / N$$



# Neyman-Pearson Decision Rule: Single-Peak



Single-Peak Detection

$$P_D = \int_{-\infty}^{\eta} f(x_N/\beta < 1) dx_N$$

$$P_{FA} = \int_{-\infty}^{\eta} f(x_N/\beta = 1) dx_N$$



# ESNR

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Essential  $SNR$  ( $ESNR$ ) is defined as the ratio of signal power to the averaged (over the 2 hypotheses) noise power corrupting the signal.

$$\begin{aligned} ESNR &= \frac{2N(1-\beta)^2 I_p^2}{qI_p(1+\beta)/T_w + 4q(I_p + I_d)\Delta f + \frac{8kT_o}{R}\Delta f} \\ &= \frac{2N(\alpha_{\beta=1} - \alpha_{\beta<1})^2}{(\lambda_{\beta=1} + \lambda_{\beta<1}) + 2\sigma^2} \end{aligned}$$





# Differential of $ESNR$

We can analyze the sensitivity of  $ESNR$  to system parameters by computing the differential of  $ESNR$  defined by

$$dESNR = \frac{\partial ESNR}{\partial \alpha_{\beta=1}} d\alpha_{\beta=1} + \frac{\partial ESNR}{\partial \lambda_{\beta=1}} d\lambda_{\beta=1} + \frac{\partial ESNR}{\partial \sigma^2} d\sigma^2 + \frac{\partial ESNR}{\partial N} dN$$

where

$$\frac{\partial ESNR}{\partial \alpha_{\beta=1}} = \frac{4N\alpha_{\beta=1}(1-\beta)^2(2(\lambda_{\beta=1} + \sigma^2) - \alpha_{\beta=1}(1-\beta)) - 2N\alpha_{\beta=1}^2(1-\beta)^2(\beta-1)}{(2(\lambda_{\beta=1} + \sigma^2) - \alpha_{\beta=1}(1-\beta))^2}$$

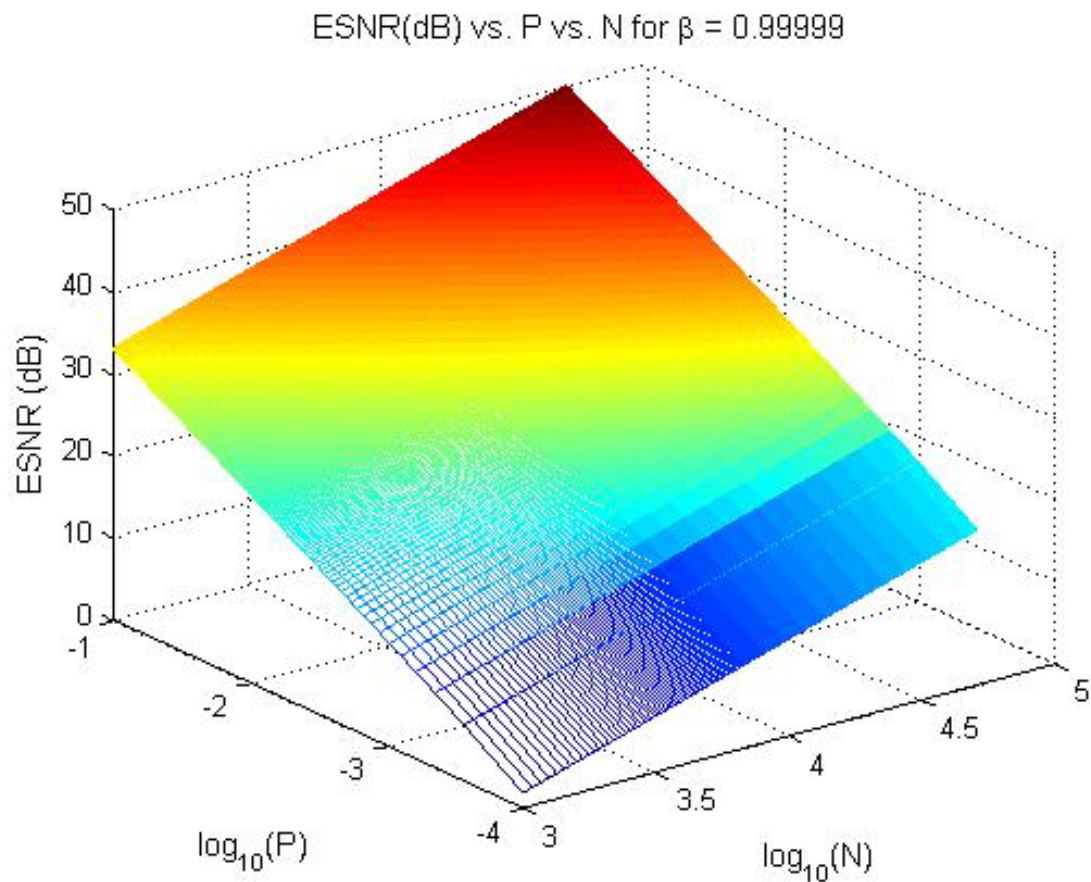
$$\frac{\partial ESNR}{\partial \lambda_{\beta=1}} = \frac{\partial ESNR}{\partial \sigma^2} = \frac{-4N\alpha_{\beta=1}^2(1-\beta)^2}{(2(\lambda_{\beta=1} + \sigma^2) - \alpha_{\beta=1}(1-\beta))^2}$$

$$\frac{\partial ESNR}{\partial N} = \frac{2\alpha_{\beta=1}^2(1-\beta)^2}{2(\lambda_{\beta=1} + \sigma^2) - \alpha_{\beta=1}(1-\beta)}$$



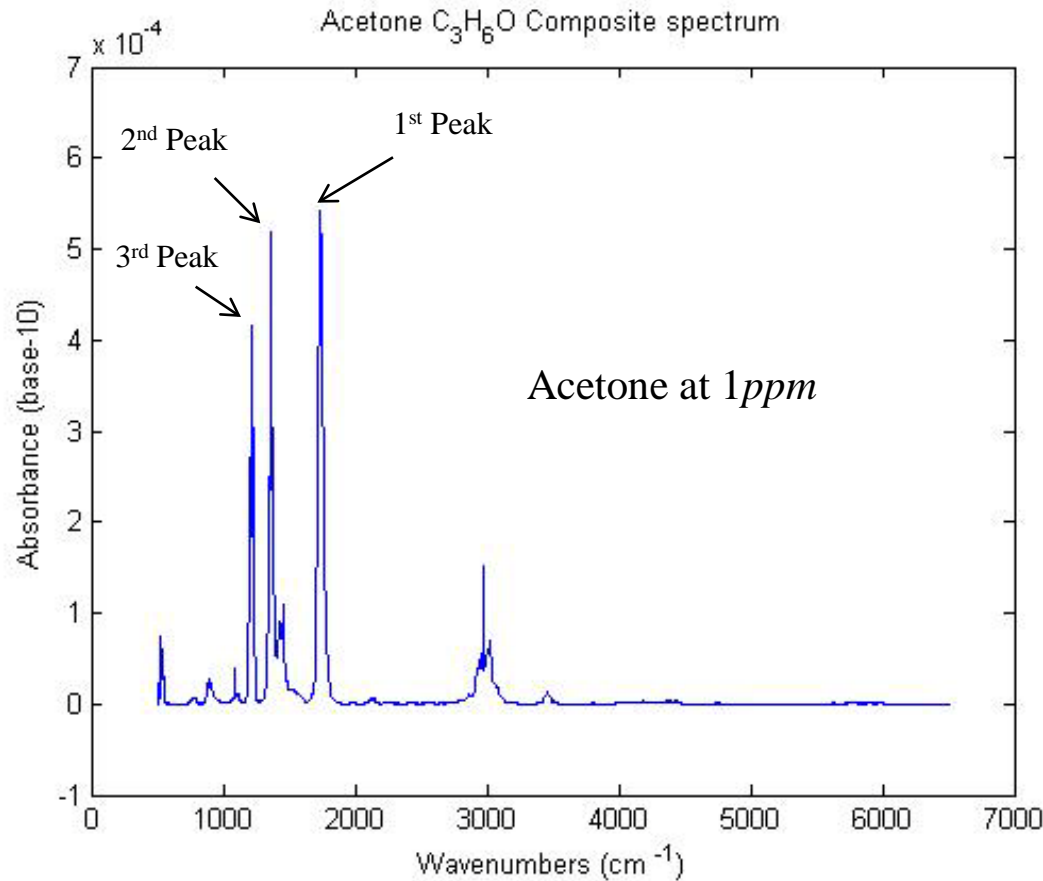
# ESNR

ESNR(dB) vs.  $P$  vs.  $N$  for  $\beta = 0.9999$





# Example Gas Spectrum (PNNL Data)



From PNNL data,  $\varepsilon = -24.15 (\log \beta) / (1ppm \cdot 1m)$  at 296° K.

PNNL ~ Pacific Northwest National Laboratory



# Beer-Lambert Law

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$$A = -\log T = -\log \beta = \epsilon bc$$

$$\epsilon = \frac{-24.15(\text{L/mol}) \cdot \log \beta}{1 \text{ ppm} \cdot 1 \text{ m}} = 24.15 \cdot \Delta$$

where  $A$  ~ absorbance

$\beta = T$  ~ transmittance

$\epsilon$  ~ molar absorptivity ( $\text{L} \cdot \text{mol}^{-1} \cdot \text{m}^{-1}$ )

$b$  ~ optical cavity length (m)

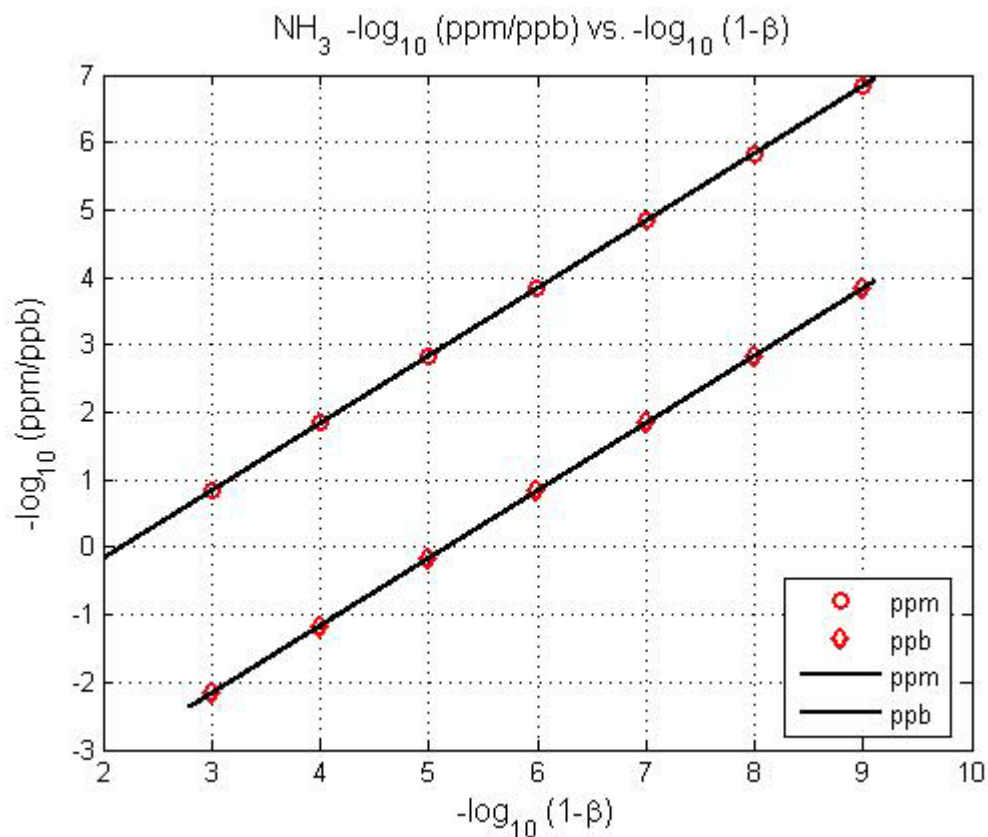
$c$  ~ concentration (mol/L)

$\Delta = -(\log \beta)/(1 \text{ ppm} \cdot 1 \text{ m})$



# Concentration (ppm/ppb) vs $\beta$

Concentration (ppm/ppb) vs  $\beta$  at peak-1 of Ammonia ( $\text{NH}_3$ )





# 3-Peaks Joint Detection

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For gas detection:

- Perform *independent* absorption-spectral-peak detection on each of the 3 *largest spectral peaks* of the gas.
- Obtain  $P_{Di}$ ,  $i = 1, 2, 3$ , for the same  $P_{FA}$  defined by  $\eta_i$

$$P_{Di} = \int_{-\infty}^{\eta_i} f(x_N | \beta_i < 1) dx_N$$

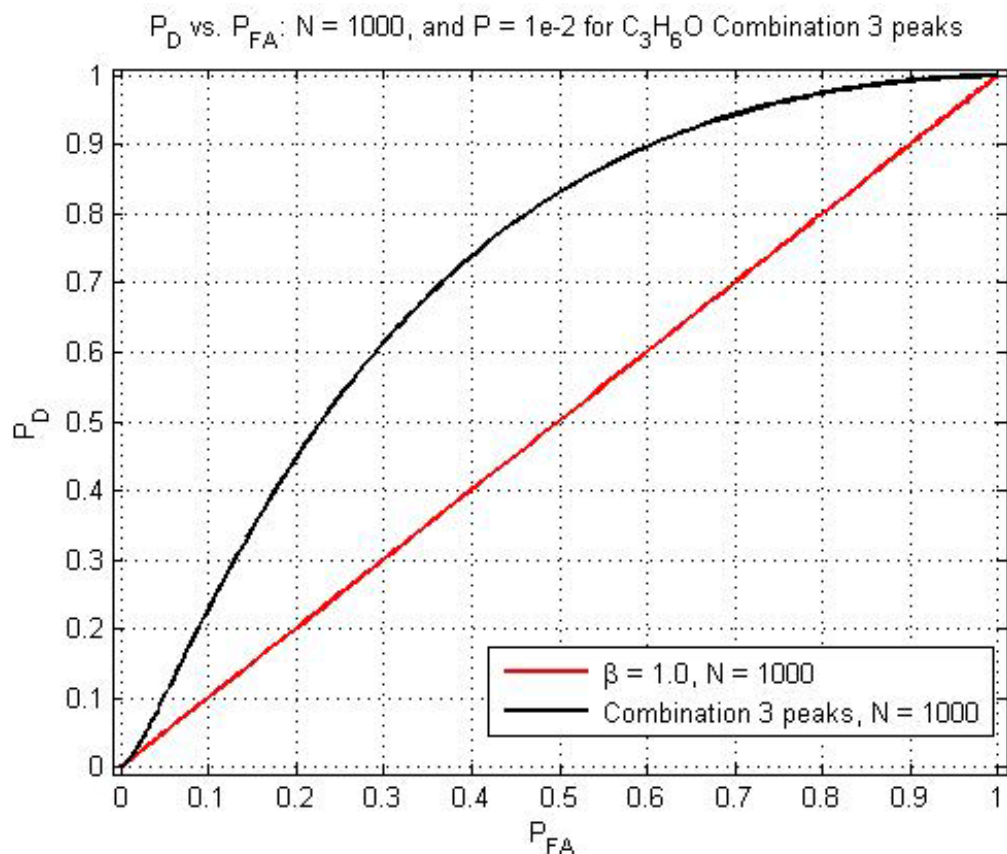
$$P_{FA} = \int_{-\infty}^{\eta_i} f(x_N | \beta_i = 1) dx_N$$

- Overall gas detection achieved with  $P_D = P_{D1} \times P_{D2} \times P_{D3}$ , corresponding to the *joint detection* of all 3 peaks of the gas.



# 3-Peaks Joint Detection

3-Peak  $P_D$  vs  $P_{FA}$  corresponding to Acetone @ 1ppb





# $\beta$ Estimation and Reliability

For each peak the independent  $\beta$ -estimate,  $\hat{\beta}_i$ ,  $i = 1, 2, 3$ , is

$$\hat{\beta}_i = (x_{Ni} - \mu) / \alpha_{\beta=1}$$

where  $E\{x_{Ni}\} = \beta_i \alpha_{\beta=1} + \mu$  and  $x_{Ni} = \hat{\beta}_i \alpha_{\beta=1} + \mu$  for  $\beta_i < 1$ .

We can obtain the corresponding confidence-interval **upper and lower limits**,  $\beta_{max}$  and  $\beta_{min}$ , for each peak are obtained from

$$\beta = 0.5 \left[ C(\hat{\beta}) \pm \sqrt{C^2(\hat{\beta}) - 4D(\hat{\beta})} \right]$$

where

$$C(\hat{\beta}) = 2 \hat{\beta} + \left( \frac{t}{\alpha_{\beta=1}} \right)^2 \left( \frac{\lambda_{\beta=1} - \varphi}{N} \right) \quad \varphi = \lambda_{\beta=1} - \alpha_{\beta=1}$$

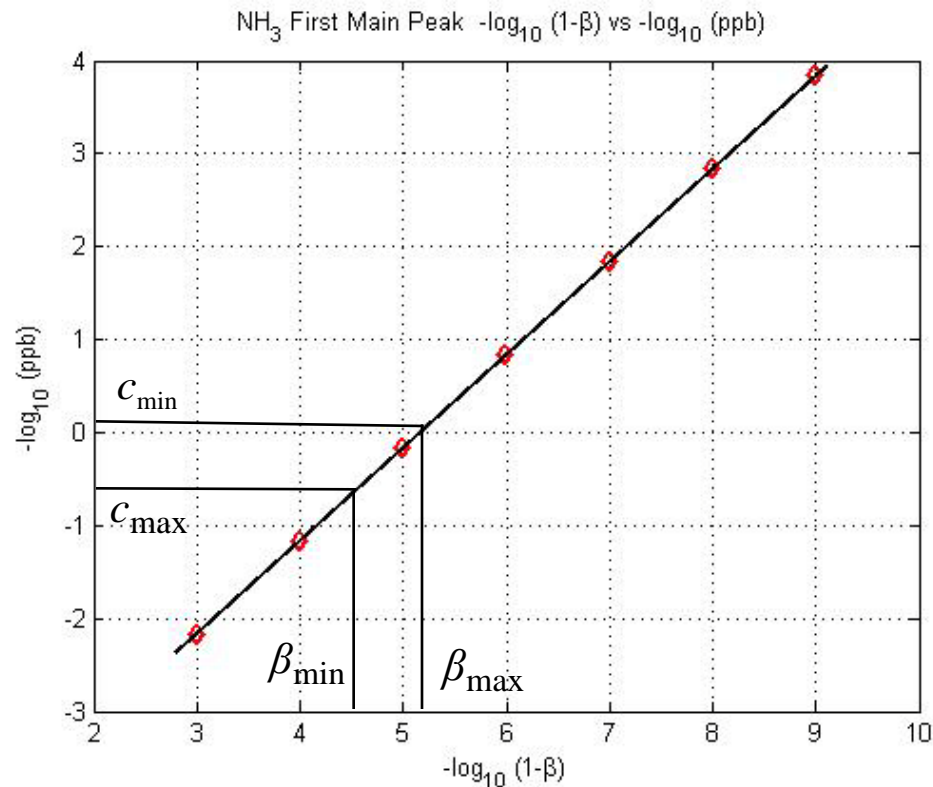
$$D(\hat{\beta}) = \hat{\beta}^2 + \left( \frac{t}{\alpha_{\beta=1}} \right)^2 \left( \frac{\sigma^2 + \varphi}{N} \right)$$





# Concentration-Estimate Reliability

Concentration **error bounds**,  $c_{min}$  and  $c_{max}$ , can be obtained from corresponding  $\beta_{max}$  and  $\beta_{min}$ . **Concentration-estimate error**  $\sim (c_{max} - c_{min}) / \hat{c}$





# Concentration-Estimate Results

17 trace gases at 1ppb,  $P = 1e-2w$ ,  $N = 1000$ , and  $P_{FA} = 0.1$  for photodetector DET 10D

Gas	$P_D$	1 <sup>st</sup> Peak c-error (ppb)	1 <sup>st</sup> Peak ESNR (dB)
C <sub>3</sub> H <sub>6</sub> O	0.23	288.78%	4.825
NO	0.065	246.01%	7.059
C <sub>5</sub> H <sub>12</sub>	0.02	204.37%	9.975
H <sub>2</sub> O	0.11	203.32%	10.062
C <sub>5</sub> H <sub>8</sub>	0.23	141.48%	13.353
CH <sub>2</sub> O	0.17	122.53%	14.602
C <sub>2</sub> H <sub>4</sub>	0.1	101.07%	16.275
CH <sub>4</sub>	0.1	99.98%	16.369
CO	0.11	93.69%	16.934
C <sub>2</sub> H <sub>6</sub>	0.028	87.19%	17.558
NH <sub>3</sub>	0.085	68.64%	19.636
HNO	0.91	48.68%	22.62
N <sub>2</sub> O	0.93	47.77%	22.784
COS	0.31	32.39%	26.159
CS <sub>2</sub>	0.2	31.39%	26.43
C <sub>2</sub> H <sub>2</sub>	0.7	15.33%	32.659
CO <sub>2</sub>	1	13.01%	34.085



# Concentration-Estimate Results

17 trace gases at 1ppb,  $P = 1e-1w$ ,  $N = 50k$ , and  $P_{FA} = 0.1$  for photodetector DET 10D

Gas	$P_D$	1 <sup>st</sup> Peak $c$ -error (ppb)	1 <sup>st</sup> Peak ESNR (dB)
$C_3H_6O$	1	16.86%	31.826
NO	0.353	13.04%	34.060
$C_5H_{12}$	0.477	9.32%	36.976
$H_2O$	1	9.23%	37.063
$C_5H_8$	1	6.32%	40.354
$CH_2O$	1	5.47%	41.603
$C_2H_4$	1	4.51%	43.276
$CH_4$	0.912	4.46%	43.370
CO	0.408	4.18%	43.934
$C_2H_6$	1	3.89%	44.559
$NH_3$	1	3.07%	46.637
HNO	1	2.17%	49.620
$N_2O$	1	2.13%	49.785
COS	1	1.45%	53.160
$CS_2$	1	1.40%	53.431
$C_2H_2$	1	0.68%	59.660
$CO_2$	1	0.58%	61.086



# Concentration-Estimate Results

17 trace gases at 1ppb,  $P = 1e-1w$ ,  $N = 50k$ , and  $P_{FA} = 0.1$  for photodetector G8422-03

Gas	$P_D$	1 <sup>st</sup> Peak c-error (ppb)	1 <sup>st</sup> Peak ESNR (dB)
C <sub>3</sub> H <sub>6</sub> O	1	42.99%	23.702
NO	0.177	33.24%	25.936
C <sub>5</sub> H <sub>12</sub>	0.209	23.76%	28.852
H <sub>2</sub> O	0.812	23.53%	28.940
C <sub>5</sub> H <sub>8</sub>	1	16.11%	32.231
CH <sub>2</sub> O	0.961	13.95%	33.479
C <sub>2</sub> H <sub>4</sub>	1	11.51%	35.152
CH <sub>4</sub>	0.403	11.38%	35.246
CO	0.193	10.67%	35.811
C <sub>2</sub> H <sub>6</sub>	0.86	9.93%	36.435
NH <sub>3</sub>	0.991	7.81%	38.513
HNO	1	5.54%	41.497
N <sub>2</sub> O	1	5.44%	41.661
COS	1	3.69%	45.036
CS <sub>2</sub>	1	3.57%	45.308
C <sub>2</sub> H <sub>2</sub>	1	1.75%	51.536
CO <sub>2</sub>	1	1.48%	52.962



# Summary and Conclusions

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## Summary & Conclusions

- Simplified the statistical analysis model to simulate the results efficiently, based on the CLT.
- Used the model to simulate the performance of detecting 3-main peaks of a trace gas using parameters from PNNL data.
- Investigated the accuracy of gas concentration estimation through confidence interval method.
- Better gas detection and concentration estimation performance obtained through increasing  $N$  and  $P$  and by appropriate choice of device.
- The statistical analysis model adaptable to other system components via specifying their parameter values.



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Thank You

Questions ?